

VIA Express Mail EL629611067US  
Docket Number  
36856.1376

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re. application of : Yuichi TAKAMINE	International Application No.:
Serial No.: Unknown	PCT/JP2005/006608
Filed: Concurrently herewith	International Filing Date:
For: SURFACE ACOUSTIC WAVE FILTER AND METHOD OF PRODUCING THE SAME	April 4, 2005

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, and in recognition of his duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicant herewith submits the attached Form PTO-1449. Reference Nos. 1-4 were cited in the attached International Search Report for PCT Application No. PCT/JP2005/006608.

Reference Nos. 5-11 are additional references that Applicant is submitting herewith.

The relevance of Reference Nos. 1 and 5 is discussed on page 2 of the substitute specification filed concurrently herewith.

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The relevance of Reference No. 4 is discussed in the International Search Report for PCT Application No. PCT/JP2005/006608.

The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicant respectfully requests that the cited references be made of record in the subject application.

Respectfully submitted,



Attorneys for Applicant

Date: October 26, 2005

Joseph R. Keating  
Registration No. 37,368

Christopher A. Bennett  
Registration No. 46,710

Peter Medley  
Registration No. 56,125

**KEATING & BENNETT LLP**  
8180 Greensboro Drive, Suite 850  
Tyson's Corner, VA 22102  
Telephone: (703) 637-1480  
Facsimile: (703) 637-1499

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Substitute for form 1449/PTO

Complete if Known

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Application Number

Filing Date

First Named Inventor

Art Unit

Examiner Name

Attorney Docket Number 36856.1376

10/554622

Yuichi TAKAMINE

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number-Kind Code <sup>4</sup> (if known)	Publication Date MM -YYYY	Country of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	1	JP 2003-032061 (English abstract and machine translation)	01-2003	JAPAN		x
	2	JP 60-176317 (English abstract)	09-1985	JAPAN		x
	3	JP 55-41048 (English abstract)	03-1980	JAPAN		x
	4	JP 58-077921 U	05-1983	JAPAN		
	5	JP 2003-17979 (English abstract and machine translation)	01-2003	JAPAN		x
	6	JP 10-041438 (English abstract and machine translation)	02-1998	JAPAN		x
	7	JP 03-036219 U	04-1991	JAPAN		
	8	JP 04-087410 (English abstract)	03-1992	JAPAN		x
	9	JP 2000-312127 (English abstract and machine translation)	11-2000	JAPAN		x
	10	JP 2003-204032 (English abstract and machine translation)	07-2003	JAPAN		x
	11	JP 2002-198487 (English abstract and machine translation)	07-2002	JAPAN		x

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.